DELAWARE COUNTY - CFSP 2012-2016 APPENDIX K

Child Care Administration

Describe how your local district is organized to administer the child care program, including any functions that are subcontracted to an outside agency.

1. Identify the unit that has primary responsibility for the administration of child care for:

Public Assistance Families: Temporary Assistance Workers

Transitioning Families: Employment Unit

Income Eligible Families: Services

Title XX: N/A

2. Provide the following information on the use of New York State Child Care Block Grant (NYSCCBG) Funds.

FFY 2009-2010 Rollover funds (available from the NYSCCBG ceiling report in the claiming system:	\$666,645.00
Estimate FFY 2010-11 Rollover Funds	\$427,280.00
Estimate of Flexible Funds for Families (FFS) for child care subsidies	\$
NYSCBG Allocation 2011-12	\$1,120,042.00
Estimate of Local Share	\$34,955.00
Total Estimated NYSCCCBG Amount	\$2,248,922.00
a. Subsidy	\$1,885,479.00
b. Other program costs excluding subsidy	\$139,443.00
c. Administrative costs	\$224,000.00

3. Does your district have a contract or formal agreement with another organization to perform any of the following functions?

Function	Organization	Amount of Contract
☐ Eligibility screening	Delaware Opportunities	139,443
Determining if legally-exempt providers meet State-approved additional standards	Delaware Opportunities	
	Delaware Opportunities	
Child Care Information Systems	Delaware Opportunities	
Other	Delaware Opportunities	

APPENDIX L

Other Eligible Families if Funds are Available (Required)

Listed below are the optional categories of eligible families that your district can include as part of its County Plan. Select any categories your county wants to serve using the NYSCCBG funds and describe any limitations associated with the category.

	Optional Categories	Option	Limitations
1.	Public Assistance (PA) families participating in an approved activity in addition to their required work activity.	⊠ Yes □ No	None
2.	PA families or families with income up to 200% of the State Income Standard when the caretaker is:		
	a) participating in an approved substance abuse treatment program	∑ Yes □ No	
	b) homeless	∑ Yes □ No	
	c) a victim of domestic violence	∑ Yes □ No	
	d) in an emergency situation of short duration	⊠ Yes □ No	
3.	Families with an open child protective services case when child care is needed to protect the child.	∑ Yes □ No	
4.	Families with income up to 200% of the State Income Standard when child care services are needed because the child's caretaker:		
	a) is physically or mentally incapacitatedb) has family duties away from home	∑ Yes ☐ No ∑ Yes ☐ No	
5.	Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to actively seek employment for a period up to six months.	⊠ Yes □ No	
6.	PA families where a sanctioned parent is participating in unsubsidized employment, earning wages at a level equal to or greater than the minimum amount under law.	⊠ Yes □ No	

7.	Inc	milies with income up to 200% of the State come Standard when child care services are eded for the child's caretaker to participate in:		
	a)	a public or private educational facility providing a standard high school curriculum offered by or approved by the local school district	⊠ Yes □ No	
	b)	an education program that prepares an individual to obtain a NYS High School equivalency diploma	⊠ Yes □ No	
	c)	a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level	⊠ Yes □ No	
	d)	a program providing literacy training designed to help individuals improve their ability to read and write	⊠ Yes □ No	
	e)	English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose primary language is other than English	⊠ Yes □ No	
	f)	a two-year full-time degree granting program at a community college, a two-year college, or an undergraduate college with a specific vocational goal leading to an associate degree or certificate of completion	⊠ Yes □ No	
	g)	a training program, which has a specific occupational goal and is conducted by an institution other than a college or university that is licensed or approved by the State Education Department	⊠ Yes □ No	
	h)	a prevocational skill training program such as a basic education and literacy training program	⊠ Yes □ No	
	i)	a demonstration project designed for vocational training or other project approved by the Department of Labor	⊠ Yes □ No	
Note: The parent/caretaker must complete the selected				
programs listed under number seven within 30				
consecutive calendar months. The parent/caretaker				
can	not	enroll in more than one program.		
8.		recipients and low-income families with omes up to 200% of the State Income Standard	⊠ Yes □ No	

who are satisfactorily participating in a two-year program other than one with a specific vocational sequence (leading to an associate's degree or certificate of completion and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity) as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	
9. PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year college or university program (other than one with a specific vocational sequence) leading to an associate's degree or a certificate of completion that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	∑ Yes ☐ No
10. PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a four-year college or university program leading to a bachelor's degree and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	∑ Yes ☐ No
11. Families with incomes up to the 200% of the State Income Standard when child care services are needed for the child's caretaker to participate in a program to train workers in an employment field that currently is or is likely to be in demand in the future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program, provided that child care services are only used for the portion of the day the caretaker is able to document is directly related to the caretaker engaging in such a program.	⊠ Yes □ No

APPENDIX M

Reasonable Distance, Very Low Income, Family Share, Case Closing and Openings, Recertification Period, Fraud and Abuse Control Activities (Required)

Reasonable Distance

Define "reasonable distance" based on community standards for determining accessible child care.

The following defines "reasonable distance": 15 Minutes from home to provider

Describe any steps/consultations made to arrive at your definition: Time was used rather than distance because many roads in rural Delawre County are difficult to traverse, some with low speed limits.

Very Low Income

Define "very low income" as it is used in determining priorities for child care benefits.

"Very Low Income" is defined as 200% of the State Income Standard.

Family Share

"Family share" is the weekly amount paid towards the costs of the child care services by the child's parent or caretaker. In establishing family share, your district must select a percentage from 10% to 35% to use in calculating the family share. The weekly family share of child care costs is calculated by applying the family share percentage against the amount of the family's annual gross income that is in excess of the State Income Standard divided by 52.

Family Share Percentage selected by the county 25%.

Note: The percentage selected here must match the percentage selected in Title XX Program Matrix in WMS.

Case Closings

The district must describe below how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Describe below how districts will select cases to be closed in the event that there are insufficient or no funds available.

••	14011	infection of focus priorities in addition to the required reactar priorities (select one).
	\boxtimes	The district has identified local priorities in addition to the required federal priorities (Complete Section 2)
		The district has not identified local priorities in addition to the required federal priorities (Complete Section 3).
2.	prior	cribe how priority is given to federally mandated priorities and describe local rities. If all NYSCCBG funds are committed, the district will discontinue funding to a families that have lower priorities in order to serve families with higher priorities.

Describe in the space below how the district will select cases to be closed in the event

Identification of local priorities in addition to the required federal priorities (select one)

that there are insufficient or no funds available.

a.	The district will select cases to be closed based UNLY on income.
	No.
	1) The district will close cases from the highest income to lowest income.
	2) The district will close cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:
b.	The district will select cases to be closed based ONLY on categories of families. ☑ No.
	Yes. List the categories in the order that they will be closed, including the optional categories selected in Appendix L:
c.	The district will select cases to be closed based on a combination of income and family category.
	No.☐ Yes. List the categories and income groupings in the order that they will be
	closed:
d.	The district will select cases to be closed on a basis other than the options listed above. No.
	Yes. Describe how the district will select cases to be closed in the event that there are insufficient funds to maintain the district's current case load:
e.	The last cases to be closed will be those that fall under federal priorities. Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be closed last.
	Very low income ⊠ Rank 1 ☐ Rank 2
	Families that have a child with special needs Rank 1 Rank 2
une the bas	all NYSCCBG funds are committed, case closings for families that are not eligible der a child care guarantee and are not a federally mandated priority must be based on a length of time in receipt of services. The length of time used to close cases may be sed either on the shortest or longest time the family has received child care services, t must be consistent for all families.
a.	Identify how the district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

3.

	Very low income ⊠ Rank 1 □ Rank 2		
	Families that have a child with special needs Rank 1 Rank 2		
	The district will close cases based on the federal priorities and the amount of time the family has been receiving child care services.		
	☐ Shortest time receiving child care services		
	□ Longest time receiving child care services		
	b. The district will establish a waiting list for families whose cases were closed because our county did not have sufficient funds to maintain our current caseload.		
	⊠ No.		
	Yes. Describe how these cases will be selected to be reopened if funds become available:		
	Openings		
	the below how priority is given to federally mandated priorities and how the district will cases to be opened in the event that insufficient funds are available.		
1.	The first cases to be opened will be those that fall under the federal priorities.		
	Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be opened first.		
	Very low income Rank 1 □ Rank 2		
	Families that have a child with special needs Rank 1 Rank 2		
2.	The district will select cases to be opened based ONLY on income.		
	☐ No.		
	Yes. Check 1 or 2 below.		
	1) The district will open cases from the highest income to lowest income.		
	2) The district will open cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:		
3.	The district will select cases to be opened based ONLY on category.		
	No.		
	Yes. List the categories in the order that they will be opened, including the optional categories selected in Appendix L:		

4.	The district will select cases to be opened based on a combination of income and category of family.
	⊠ No.
	Yes. List the categories and income groupings in the order that they will be opened:
5.	The district selects cases to be opened on a basis other than the options listed above.
	⊠ No.
	Yes. Describe how the district will select cases to be opened in the event that there are not sufficient funds to open all eligible families:
6.	The district will establish a waiting list when there are not sufficient funds to open all eligible cases.
	⊠ No.
	Yes. Describe how these cases will be selected to be opened when funds become available:
The di	strict's recertification period is every ⊠ six months □ twelve months

Fraud and Abuse Control Activities

Describe below the criteria the district will use to determine which child care subsidy applications suggest a higher than acceptable risk for fraudulent or erroneous child care subsidy payment in addition to procedures for referring such applications to the district's front-end detection system.

Lack of adequate indentification; past fraud; unable to verify residency; living off loans from family

Describe the sampling methodology used to determine which cases will require verification of an applicant's or recipient's continued need for child care, including, as applicable, verification of participation in employment, education, or other required activities.

All participants are recertified every six months. Interim certifications are also completed when information becomes available suggesting that the situation has changed.

Describe the sampling methodology used to determine which providers of subsidized child care services will be reviewed for the purpose of comparing the child care provider's attendance forms for children receiving subsidized child care services with any Child and Adult Care Food Program inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

The Child Care Subsidy Coordinator compares the CACFP listing with attendence sheets for child care subsidy. If a direpency is found the monitoring reports are given to subsidy staff for clarification or further investigation.

APPENDIX N

District Options (Required)

Districts have some flexibility to administer their child care subsidy programs to meet local needs. Check which options that your district wishes to include in your county plan. Complete the attached appendices for any area(s) checked.

1.	The district has chosen to establish funding set-asides for NYSCCBG (complete Appendix O).
2.	The district is using Title XX funds for the provision of child care services (complete Appendix P).
3.	The district has chosen to establish additional local standards for child care providers (complete Appendix Q).
4.	The district has chosen to make payments to child care providers for absences (complete Appendix R).
5.	The district has chosen to make payments to child care providers for program closures (complete Appendix S).
6.	The district has chosen to pay for transportation to and from a child care provider (complete Appendix T).
7.	The district has chosen to pay up to 15% higher than the applicable market rates for regulated child care services that have been accredited by a nationally recognized child care organization (complete Appendix T).
8.	The district has chosen to pay up to 15% higher than the applicable market rates for non-traditional hours (complete Appendix T).
9.	The district has chosen to pay up to 75% of the enhanced market rate for legally-exempt family and in-home child care providers who have completed 10 hours of training, which has been verified by the Legally-Exempt Caregiver Enrollment Agency (complete Appendix T).
10.	The district has chosen to pay for child care services while a caretaker who works the second or third shift sleeps (complete Appendix T).
11.	The district has chosen to make payments to child care providers who provide child care services, which exceed 24 consecutive hours (complete Appendix U).
12.	The district has chosen to include 18-, 19- or 20-year-olds in the Child Care Services Unit (complete Appendix U) $$
13.	The district is seeking a waiver from one or more regulatory provisions. Such waivers are limited to those regulatory standards that are not specifically included in law (complete Appendix U).
14.	The district has chosen to pay for breaks in activity for low income families (non public assistance families). Complete Appendix U.

15. 🗌	The district has chosen to use local equivalent forms such as, but not limited to, child care application, client notification, and/or enrollment forms (attach copies of the local equivalent forms your district uses).
	Any previous approvals for local equivalent forms will not be carried forward into this county plan. Therefore, any local equivalent forms a district wishes to establish or renew must be included in this plan and will be subject to review and approval by

OCFS.

APPENDIX O

Funding Set-Asides (Optional)

Total NYSCCBG E	Block Grant Amount, Including Local Funds	
Category:		\$
Total Set-Aside	es	\$
Describe for each of (e.g., estimated num	category the rationale behind specific set-aside an mber of children).	mounts from the NYSCCBG
Category:		
Description:		
Category:		
Description:		
Category:		
Description:		
Category:		
Description:		
The following amo	ounts are set aside for specific priorities from the	Title XX block grant:
Category:		\$
Category:		\$
Category:		\$
Total Set-Aside	es (Title XX)	\$
	category the rationale behind specific amounts se stimated number of children).	et aside from of the Title XX
Category:	~ · · /	

Description:		
Category:		
Description:		
Category:		
Description:		
Category:		
Description:		

APPENDIX P

Title XX Child Care (Optional)

Enter projected total Title XX expenditures for the plan's duration:\$			
Indicate the financial eligibility limits (percentage of State Income Standard) your district will apply based on family size. Maximum reimbursable limits are 275% for a family of one or two, 255% for a family of three, and 225% for a family of four or more. Districts that are utilizing Title XX funds <i>only</i> for child protective and/or preventive child care services must not enter financial eligibility limits as these services are offered without regard to income.			
Family Size: (2) % (3) % (4) %			
Programmatic Eligibility for Income Eligible Families (Check all that apply.)			
Title XX: employment education/training			
seeking employment lillness/incapacity			
homelessness domestic violence			
emergency situation of short duration			
participating in an approved substance abuse treatment program			
Does the district apply any limitations to the programmatic eligibility criteria?			
☐ Yes ☐ No			
(See Technical Assistance #1 for information on limiting eligibility.)			
If yes, describe eligibility criteria:			
Does the district prioritize certain eligible families for Title XX funding?			
☐ Yes ☐ No			
If yes, describe which families will receive priority:			
Does the district use Title XX funds for child care for open child protective services cases?			
☐ Yes ☐ No			
Does the district use Title XX funds for child care for open child preventive services cases? Yes No			

APPENDIX Q

Additional Local Standards for Child Care Providers (Optional)

The district may propose local standards in addition to the State standards for legally-exempt providers who will receive child care subsidies. This appendix must be completed for **each** additional standard that the district wishes to implement.

1.	Check or describe in the space provided below the additional local standards that will be required of child care providers/programs.		
	☐ Verification that the provider has given the parent/caretaker complete and accurate information regarding any report of child abuse or maltreatment in which they are named as an indicated subject		
	☐ Local criminal bac	ckground check	
	Requirement that providers that care for subsidized children for 30 or more hours a week participate in the Child and Adult Food Care Program (CACFP)		
	☐ Site visits by the l	ocal district	
	Other (please desc	eribe):	
2.	Check below the type of child care program to which the additional standard will apply and indicate the roles of the persons to whom it will apply in cases where the standard is person-specific. Legally-exempt family child care program. Check all that apply.		
	☐ Provider	☐ Provider's Employee	☐ Provider's Volunteer
	Provider's household member age 18 or older		
	☐ Legally-exempt in-home child care program. Check all that apply.		
	☐ Provider	☐ Provider's Employee	☐ Provider's Volunteer
	Legally-exempt group providers not operating under the auspices of another government agency. Check all that apply.		
	☐ Provider	☐ Provider's Employee	☐ Provider's Volunteer
	Legally-exempt group providers operating under the auspices of another government or tribal agency. Check all that apply.		
	☐ Provider	☐ Provider's Employee	☐ Provider's Volunteer

3.	Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.
	☐ Local social services staff
	Provide the name of the unit and contact person:
	☐ Contracted agency
	Provide the name of the agency and contact person:
4.	Are there any costs associated with the additional standard?
	Yes No Note: Costs associated with the additional standard cannot be passed on to the provider.
5.	Describe the steps for evaluating whether the additional local standard has been met.
6.	Indicate how frequently reviews of the additional standard will be conducted. Check all that apply.
	Legally-Exempt Programs:
	☐ Initial enrollment ☐ During the 12-month enrollment period
	Re-enrollment Other
7.	In the space below, described the procedures the district will use to notify the Legally-Exempt Caregiver Enrollment Agency (EA) as to whether the legally-exempt provider is in compliance with the additional local standards. Districts must notify the EA within 25 days from the date they received the referral from the EA. (Districts need to describe this procedure only if the additional local standard is applied to legally-exempt child care providers.)
8.	Describe the justification for the additional standard in the space below.

APPENDIX R

Payment to Child Care Providers for Absences (Optional)

The following providers are eligible for payment for absences (check all that are eligible):				
□ Day Care Center		Legally-Exempt Group		
		School Age Child Care		
□ Family Day Care				
Our county will only pay for absences to providers with which the district has a contract or letter of intent.				
☐ Yes ☐ No				
Base period (check one)		⊠ 3 months	6 months	
Number of absences allowed during base period:				
Period	Routine Limits (# of days)	Extenuating Circumstances (# of days)	Total Number of Absences Allowed (# of days)	
In a month	5	0	5	
Base period	8	0	8	

List reasons for absences for which the district will allow payment:

1) Child is sick 2) Child is absent due to family emergency or family circumstances that prevent child from getting to the provider 3) Child has a doctor appointment or health related appointment.

List any limitations on the above providers' eligibility for payment for absences:

The provider must submit a child absent signed by the parent indicating the child's name, date absent, and reason for the absence for each day claimed for payment as an absence. If the form is not signed by the parent, then payment can not be made.

Note: Legally-exempt family child care and in-home child care providers are **not** eligible to receive payment for absences.

APPENDIX S

Payment to Child Care Providers for Program Closures (Optional)

The following providers are eligible for	r payment for program closures:
Day Care Center	Legally-Exempt Group
☐ Group Family Day Care ☐	School Age Child Care
☐ Family Day Care	
The county will only pay for program or letter of intent. Yes No	closures to providers with which the district has a contract
Enter the number of days allowed for p closures is five days).	rogram closures (maximum allowable time for program
List the allowable program closures for	which the county will provide payment.
Note: Legally-exempt family child care reimbursed for program closures.	e and in-home child care providers are not allowed to be

APPENDIX T

Transportation, Differential Payment Rates, Enhanced Market Rate for Legally-Exempt and In-Home Providers, and Sleep (Optional)

Transportation

Describe any circumstances and limitations your county will use to reimburse for transportation. Include what type of transportation will be reimbursed (public vs. private) and how much your county will pay (per mile or trip). Note that if the county is paying for transportation, the Program Matrix in WMS should reflect this choice.

Differential Payment Rates

Indicate the percentage above the market rate your county has chosen.

- Accredited programs may receive a differential payment up to % above market rate.
- Care during non-traditional hours may be paid up to % above market rate.
- Limitations to the above differentials:

Payments may not exceed 15% above market rate. However, if your district wishes to establish a payment rate that is more than 15% above the applicable market rate, describe below why the 15% maximum is insufficient to provide access within the district to accredited programs and/or care provided during non-traditional hours.

Enhanced Market Rate for Legally-Exempt Family and In-Home Child Care Providers

Indicate if the district is electing to establish a payment rate that is in excess of the enhanced market rate for legally-exempt family and in-home child care providers who have annually completed 10 or more hours of training and the training has been verified by the legally-exempt caregiver enrollment agency.

No.
Yes. Our market rate will not exceed 75% of the child care market rate established for
registered family day care.

Sleep

The following describes the standards that will be used in evaluating whether or not to pay for child care services while a parent or caretaker that works a second or third shift sleeps, as wells as any limitations pertaining to payment:

Delaware County only pays for parent/caretaker that works third shift and only if there is not a parent or caretaker at home

Indicate the number of hours allowed by your district (maximum number of hours allowed is eight).

APPENDIX U

Child Care Exceeding 24 Hours, Child Care Services Unit, Waivers, and Breaks in Activities (Optional)

Child	Care	Exceeding	24	Hours
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Child Care Exceeding	24 Hours	
short-term emergency be necessitates care for 24	y exceed 24 consecutive hours where the basis or in other situations where the hours on a limited basis. Check below the decare exceeding 24 hours.	caretaker's approved activity
On a short-term or e	mergency basis	
☐ The caretaker's appr	roved activity necessitates care for 2	24 hours on a limited basis
Describe any limitation	s for payment of child care services	that exceed 24 consecutive hours.
Child Care Services U	Init (CCSU)	
•	county will include 18-, 19-, or 20-y ize and countable family income.	rear-olds in the CCSU, which is used
The district will inc	lude the following in the CCSU (che	eck all that apply).
☐ 18-year-olds	☐ 19-year-olds	20-year-olds
	OR	
The district will onl (check all that apply	y include the following in the CCSU(y)	U when it will benefit the family
☐ 18-year-olds	19-year-olds	20-year-olds
Describe the criteria you are included in the CCS		ether or not 18-, 19-, or 20-year olds
Waivers		
	ority to request a waiver of any regulary your county is requesting a waive	latory provision that is non-statutory. er.
Breaks in Activities		
either for a period not to care arrangements would	nild care services for low income far o exceed two weeks or for a period of ld otherwise be lost and the subsequent cate below if your county will make	not to exceed four weeks when child tent activity is expected to begin
☐ Two weeks	Four weeks	
* ±	hild care services while the caretake or on a break between approved act	

families are eligible for child care services during a break in activities (check any that are eligible):
☐ Entering an activity
☐ Waiting for employment
On a break between activities