APPENDIX G-1 CHILD CARE SECTION 2010 APU

County: Montgomery

I. Administration (Required Section)

Describe how your district is organized to administer the child care program including any functions that are subcontracted to an outside agency.

1. Identify the unit that has primary responsibility for the administration of child care for:

Public Assistance Families: Temporary Assistance

Transitioning Families: **Services**

Income Eligible Families: Temporary Assistance

Title XX: Services

2. Indicate the use of New York State Child Care Block Grant (NYSCCBG) Funds.

CCBG
\$246,356.00
\$373,326.00
ides \$0
\$704,772.00
\$20,000.00

Total Estimated NYSCCBG Amount: \$1,098,205.00

	Ψ <u>Ι</u>	,070,203.00
A. SubsidyB. Other program costs (excluding subsidy)C. Administrative costs	\$1 \$ \$,003,098.00 80,000.00 15,000.00

\$1,098,205,00

3.	perform any of the following	functions?	other organization to
	Function:	Organization:	Amount of Contract:
	☐ Eligibility screening		
	Screening of legally- exempt providers		
	Assistance in locating of	are	
	Child Care Information Systems		
	Other		

APPENDIX G-2

II. Other Eligible Families if Funds are Available (Required Section)

Listed below are optional categories of eligible families that your district can include as part of its ICP. Select any categories your district wants to serve and describe any limitations associated with the category.

Optional Categories	Option	Limitations
1. Public Assistance (PA) families participating in an approved activity in addition to their required work activity.	∑ Yes □ No	Approved activity is job seeking
2. PA families or families with income up to 200% of the State Income Standard when the caretaker is:		
a) participating in an approved substance abuse treatment program	Yes No	Must meet all financial and programmatic requirements
b) homeless	☐ Yes ⊠ No	
c) a victim of domestic violence	Yes No	
d) in an emergency situation of short duration	Yes No	
3. Families with an open child protective services case when child care is needed to protect the child.	☐ Yes ⊠ No	
4. Families with income up to 200% of the State Income Standard when child care services are needed because the child's caretaker:		
a) is physically or mentally incapacitated	Yes No	With physician or psychiatrist document stating incapacity
b) has family duties away from home	Yes No	document stating meapacity
5. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to actively seek employment for a period up to 6 months.	Yes No	

6. PA families where a sanctioned parent is participating in unsubsidized employment, earning wages at a level equal to or greater than the minimum amount under law.	⊠ Yes □ No	Must meet requirements	all	other	eligibility
7. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to participate in:					
a) a public or private educational facility providing a standard high school curriculum offered by or approved by the local school district	∑ Yes □ No	Must meet requirements	all	other	eligibility
b) an education program that prepares an individual to obtain a NYS High School equivalency diploma	Yes No	Must meet requirements	all	other	eligibility
c) a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level	⊠ Yes □ No	Must meet requirements	all	other	eligibility
d) a program providing literacy training designed to help individuals improve their ability to read and write;	Yes No	Must meet requirements	all	other	eligibility
e) English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading and writing the English language for individuals whose primary language is other than English	Yes No	Must meet requirements	all	other	eligibility
f) a two year full-time degree granting program at a community college, a two year college, or an undergraduate college with a specific vocational goal leading to an associate degree or certificate of completion	☐ No	Must meet requirements	all	other	eligibility
g) a training program, which has a specific occupational goal and is conducted by an institution licensed or approved by the State Education Department other than a college or university	⊠ Yes □ No	Must meet requirements	all	other	eligibility
h) a prevocational skill training program such as, a basic education and literacy training program	l —	Must meet requirements	all	other	eligibility

 i) a demonstration project designed for vocational training or other project approved by the Department of Labor. The parent/caretaker must complete the selected programs listed under number seven within 30 consecutive calendar months. The parent/caretaker cannot enroll in more than one program. 	⊠ Yes □ No	Must meet requirements	all	other	eligibility
8. PA recipients and low income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year program other than one with a specific vocational sequence (leading to an associates degree or certificate of completion and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity) as long as the parent(s) or caretaker is also working at least 17 ½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	∑ Yes □ No	Must meet requirements	all	other	eligibility
9. PA recipients and low income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year college or university program (other than one with a specific vocational sequence) leading to an associates degree or a certificate of completion that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity as long as the parent(s) or caretaker is also working at least 17 ½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	⊠ Yes □ No	Must meet requirements	all	other	eligibility

10 . PA recipients and low income families		Must meet	all	other	eligibility
with incomes up to 200% of the State	∐ No	requirements			
Income Standard who are satisfactorily					
participating in a four-year college or					
university program (leading to a bachelor					
degree and that is reasonably expected to					
lead to an improvement in the					
parent/caretaker's earning capacity) as long					
as the parent(s) or caretaker is also working					
at least 17 ½ hours per week. The					
parent/caretaker must demonstrate his or					
her ability to successfully complete the					
course of study.					
11. Families with income up to 200% of the	Yes				
State Income Standard when child care	No No				
services are needed for the child's caretaker					
to participate in a program to train workers					
in a employment field that currently is or is					
likely to be in demand in the near future, if					
the caretaker documents that he or she is a					
dislocated worker and is currently					
registered in such a program, provided that					
child care services are only used for the					
portion of the day the caretaker is able to					
document is directly related to the caretaker					
engaging in such a program.					

APPENDIX G-3

III. Reasonable Distance, Very Low Income, Family Share, Case Closings and Openings, and Fraud and Abuse Control Activities (Required Section)

Reasonable Distance

Your district is required to define reasonable distance based on community standards for determining accessible child care.

The following defines "reasonable distance": Fifteen miles.

Describe any steps/consultations made to arrive at your definition:

Montgomery County is a rural county, with most employment opportunities outside of the county. This requires ownership or access to a vehicle.

Very Low Income

Very Low Income is defined by each district and is used in determining priorities for child care benefits.

Very Low Income is defined as 175% of the State Income Standard.

Family Share

Family share is the weekly amount paid towards the costs of the child care services by the child's parent or caretaker. In establishing family share, your district must select a percentage from 10% to 35% to use in calculating the family share. The family share of child care is calculated by applying the family share percentage against the amount of the family's annual gross income that is in excess of the State Income Standard divided by 52.

Family Share Percentage selected by our district **35**%.

Case Closings (select one or two)

1. The district must describe below how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Described below is how the district will select cases to be closed in the event that there are insufficient or no funds available.

1 – Families above 175% of poverty

2. The district has not established priorities beyond the federally-mandated priorities.	If all
NYSCCBG funds are committed, case closings for families which are not eligible under	a
child care guarantee and are not a federally-mandated priority must be based on the leng	th of
time in receipt of services. The length of time used to close cases may be based either of	on the
shortest or longest time receiving child care services but must be consistent for all familia	ies.
The district has chosen to close cases based on:	
shortest time receiving child care services longest time receiving child care services	

Case Openings

The district must describe below how priority is given to federally mandated priorities and how the district will select cases to be opened in the event that there are insufficient funds available.

- 1 Very low income cases (below 175%)
- 2 Children having special needs
- 3 Teenage parents

Fraud and Abuse Control Activities

The district must identify below the criteria it will use to determine which child care subsidy applications suggest a higher than acceptable risk for fraudulent or erroneous child care subsidy payment and procedures for referring such applications to the district's front end detection system.

Referral to the front end fraud detection system is based on the following indicators: >Financial obligations are current, but stated expenses exceed income with no reasonable explanation.

- >Working off the books (currently or previously).
- >Supported by loans or gifts from family/friends.
- >Application is inconsistent with prior case information.
- >Prior history of denial, case closing, or overpayment resulting from an investigation.

The district must describe below it sampling methodology used to determine which cases it will seek verification of an applicant or recipient's continued need for child care including, as applicable, verification of participation in employment, education or other required activities.

Any case with one or more of the listed indicators is referred for investigation.

The district must describe below it sampling methodology used to determine which child care providers of subsidized child care services they will review for the purpose of comparing the child care providers attendance forms for children receiving subsidized child care services and any child and adult care food program inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

Providers are all required to submit time sheets with both parent and caregiver signatures to verify times of child care provision.

APPENDIX G-4

IV. District Options (Required Section)

Districts have certain flexibility to administer the child care subsidy program to meet local needs. Check which options that your district wishes to include in your county plan. Complete attachments for any area(s) checked.

1. 🗵	Our district has identified local priorities in addition to the federal priorities (complete Appendix G-5).
2.	Our district has chosen to establish funding set-asides for NYSCCBG (complete Appendix G-6).
3.	Our district is using Title XX funds for the provision of child care services (complete Appendix G-7).
4.	Our district has chosen to establish additional local standards for child care providers (complete Appendix G-8).
5. 🖂	Our district has chosen to make payments to child care providers for absences (complete Appendix G-9).
6.	Our district has chosen to make payments to child care providers for program closures (complete Appendix G-10).
7.	Our district has chosen to pay for transportation to and from a child care provider (complete Appendix G-11).
8.	Our district has chosen to pay up to a 15% higher than the applicable market rates for regulated child care services that have been accredited by a nationally recognized child care organization (complete Appendix G-11).
9.	Our district has chosen to pay up to 15% higher than the applicable market rates for non-traditional hours (complete Appendix G-11).
10.	Our district has chosen to pay up to 75% of the enhanced market rate for legally-exempt family and in-home child care providers who have completed 10 hours of training and the training has been verified by the legally-exempt care giver enrollment agency (complete Appendix G-11).
11. 🖂	Our district has chosen to pay for child care services while a caretaker who works the second or third shift sleeps (complete Appendix G-11).

12.	Our district has chosen to make payments to child care providers who provide child care services, which exceed 24 consecutive hours (complete Appendix G-12).
13. 🗌	Our district has chosen to include 18, 19 or 20 year olds in the Child Care Services Unit (complete Appendix G-12).
14. 🗌	Our district is seeking a waiver from one or more regulatory provisions. Such waivers are limited to those regulatory standards that are not specifically included in law (complete Appendix G- 12).
15. 🗌	Our district has chosen to pay for breaks in activity for low income families (non public assistance families, complete Appendix G-12).
16. 🗌	Our district has chosen to use local equivalent forms such as, but not limited to, child care application, client notification and/or legally exempt enrollment forms (attach copies of the local equivalent forms your district uses).

Any previous approvals for local equivalent forms will not be carried forward into this county plan. Therefore, any local equivalent forms a district wishes to establish or renew must be included in this plan and will be subject to review and approval by OCFS.

APPENDIX G-5 PRIORITY POPULATIONS-ADDITIONAL LOCAL PRIORITIES

In addition to the federal priorities of very low income families and families with children who have special needs, the following additional local priorities have been selected:

Category: Teenage parents	Rank: 3
Description:	
Category:	Rank:
Description:	

APPENDIX G-9 PAYMENT TO CHILD CARE PROVIDERS FOR ABSENCES

	_	g providers are eligiblat are eligible)	le for pay	ment fo	or absences:	
	\boxtimes	Day Care Center			Legally Exempt Group	
	\boxtimes	Group Family Day	Care		School Age Child Care	
	\boxtimes	Family Day Care				
Our district will only pay for absences to providers with which the district has a contract or letter of intent. Yes No						
Base period selected (check one)						
Number of absences allowed during base period:						

Period	Routine Limits (# of days)	Extenuating Circumstances (# of days)	Total Number of Absences Allowed (# of days)
In a month	12	0	12
Base period	12	0	12

List reasons for absences for which the district will allow payment: **Provider has not had notice of absence 24 hours or more in advance.**List any limitations on the above providers' eligibility for payment for absences:

Time paid cannot exceed normal care schedule for any given day.

Note: Legally exempt family child care and in-home child care providers are **not** eligible to receive payment for absences.

APPENDIX G-11 TRANSPORTATION, DIFFERENTIAL PAYMENT RATES, ENHANCED MARKET RATES FOR LEGALLY EXMEPT, SLEEP

Transportation

Describe below under what circumstances and limitations if any your district will use to reimburse for transportation, what type of transportation will be reimbursed (public vs. private), and how much your district will pay (per mile or trip). Note if paying for transportation, Appendix F will need to reflect this choice.

Differential Payment Rates

Differential Layment Nates	
Indicate below the percentage above the market rate your	district has chosen.
Accredited Programs may receive a differential payment u	up to % above market rate.
Care during non-traditional hours may be paid up to	% above market rate.
Limitations to the above differentials are as follows:	

Payments may not exceed 15% above market rate. However, if your district wishes to establish a payment rate that is in excess of 15% above the applicable market rate must describe below why the 15% maximum is insufficient to provide access within the district to accredited programs and/or care provided during non-traditional hours.

Enhanced Market Rate For Legally-Exempt Family and In-Home Child Care Providers

If a district elects to establish a payment rate that is in excess of the enhanced market rate for legally- exempt family and in-home child care providers who have annually completed 10 or more hours of training and the training has been verified by the legally-exempt care giver enrollment agency. The district must state the percentage above the market rate it proposes to use.

	Our	district	is	requesting	to	increase	the	legally-exempt	enhanced	market	rate	up
to_	pe	ercent of	the	e applicable	reg	gistered fa	mily	day care marke	t rate. Mar	ket rate	may	not
exc	eed 7	5 percer	nt (75%) of the	ch	ild care m	arke	t rates establishe	ed for regis	tered far	nily	day
care	2.											

A district that selects the option to increase the legally-exempt enhanced market rate must select one of the options listed below for implementation of the legally-exempt enhanced market rate:
for all legally-exempt family and in-home child care providers that have been approved by the applicable legally-exempt caregiver enrollment agency; or
for those legally-exempt family and in-home child care providers who were receiving the enhanced rate on the date of the regulations, but only for the remainder of their current one-year enrollment period; or
for those legally-exempt family and in-home child care providers who were receiving the enhanced rate on the date of the regulations, for the remainder of the time they remain enrolled and continue to meet the ten-hour annual training requirement.

Sleep

The following describes the standards that will be used in evaluating whether or not to pay for child care services while a parent or caretaker that works a second or third shift sleeps and any limitations pertaining to payment:

Montgomery County will pay for daytime child care while a parent or caretaker sleeps after working second or third shift.

Indicate the number of hours allowed by your district (maximum number of hours allowed is eight). **Hours are allowed as needed, up to eight.**



Montgomery County Department of Social Services

BROADWAY, P.O. BOX 745 · FONDA, N.Y. 12068-0745 · PHONE (518) 853-4646 · FAX (518) 853-8223

William M. Cranker

Commissioner

FRONT END DETECTION SYSTEM (FEDS) REFERRAL **CHILD CARE SUBSIDY ONLY**

Case Name: _____ Case #:

A	ddre	ess:
C	lient	Phone Number:
		REASON FOR REFERRAL – FEDS INDICATORS
()	Working off the books (currently or previously)
()	Current application is inconsistent with prior case information
()	Prior history of denial, case closing or overpayment resulting from an investigation (i.e. fraud, FEDS, EVR)
()	No absent parent information or information is inconsistent with application
()	No documentation to verify identity
()	Applicant cannot provide a birth certificate for a child younger than six years of age
()	Self employed but without adequate business records to support financial assertions.
()	Documents or information provided are inconsistent with application, such as different name used for signature
()	PO Box used as mailing address without a reasonable explanation
()	Unsure of own address
()	Child case provider lives in household
C	omn	nents:
		
_	_	
Δ	- dmi	nistrative Component-DSS 2/16/10
		gomery 2010 APU Appendix G

Eligibility Worker:	Date:
**********	**************
**	
FEDS Findings:	
	-
_	
_	
Inv. Signature:	Date:
Rev. 6/07	

APPENDIX H ANNUAL PLAN UPDATE CHECKLIST

ADMINISTRATIVE COMPONENT – LOCAL DEPARTMENT OF SOCIAL SERVICES

Montgomery County

All Local Department of Social Services are required to complete this checklist. For each item below, please indicate by marking "YES" or "NO" whether there are any changes to report. For each item that is answered "Yes" or where a "NO" response is not an option, a written response is required clearly indicating what has changed and reason for the change. Reponses should be attached on separate page and added at the end of this appendix. Please note that Appendices G-1 must be completed.

<u>YES</u>	<u>NO</u>	
		I. Financing Process
		a. General Information
		b. Purchase of Services
		c. Performance or Outcome Based Provisions
		II. Monitoring Procedures
		III. Appendices
	\boxtimes	a. Appendix A – Legal Assurances
	\boxtimes	b. Appendix B – Summary of Memorandum of Understanding with the
		District Attorney's Office for Child Protective Services
	\boxtimes	c. Appendix C – Estimate of Persons to be Served
	\boxtimes	d. Appendix D - Non-Residential Services to Victims of Domestic
	-	Violence
	\boxtimes	e. Appendix E – Chafee Foster Care Independence Program Use of
		Allocations
\boxtimes		f. Appendix F – Department of Social Services – Program Information
		Matrix
		g. Appendix G – Child Care
\bowtie		1. Appendix G-1 – Administration
	×	2. Appendix G-2 – Other Eligible Families if Funds are Available
\bowtie	Ш	3. Appendix G-3 – Reasonable Distance, Very Low Income,
		Family Share, Case Closings and Case Openings, and Fraud and
		Abuse Control Activities
H	X	4. Appendix G-4 – Districts Options
H		5. Appendix G-5 – Priority Populations
님		6. Appendix G-6 – Funding Set-Asides
	\sim	7. Appendix G-7 – Title XX Child Care

\boxtimes	8. Appendix G-8 – Additional Local Standards for Child Care
	Providers
\boxtimes	9. Appendix G-9 – Payment to Child Care Providers for Absences
\boxtimes	10. Appendix G-10 – Payment for Child Care Providers for Program
	Closures
\boxtimes	11. Appendix G-11 – Transportation, Differential Payment Rates,
	and Sleep
\boxtimes	12. Appendix G-12 – Child Care Exceeding 24 Hours, Child Care
	Service Unit, Waivers, Break in Activities

APPENDIX H ANNUAL PLAN UPDATE CHECKLIST

ADMINISTRATIVE COMPONENT – LOCAL DEPARTMENT OF SOCIAL SERVICES

Montgomery County

All Local Department of Social Services are required to complete this checklist. For each item below, please indicate by marking "YES" or "NO" whether there are any changes to report. For each item that is answered "Yes" or where a "NO" response is not an option, a written response is required clearly indicating what has changed and reason for the change. Reponses should be attached on separate page and added at the end of this appendix.

<u>YES</u>	<u>NO</u>	
_	-	I. Financing Process
	\bowtie	a. General Information
	\boxtimes	b. Purchase of Services
	\boxtimes	c. Performance or Outcome Based Provisions
		II. Monitoring Procedures
		III. Appendices
	\boxtimes	a. Appendix A – Legal Assurances
		b. Appendix B – Summary of Memorandum of Understanding with the District Attorney's Office for Child Protective Services (Check "No" if the memorandum is current, designates suitable locations for abandoned infants and there are no changes since the last CFSP or
	\square	APU.)
H		 c. Appendix C – Estimate of Persons to be Served d. Appendix D – Non-Residential Services to Victims of Domestic
Ш		Violence
	\boxtimes	e. Appendix E – Chafee Foster Care Independence Program Use of
		Allocations
		 f. Appendix F – Department of Social Services – Program Information Matrix
		g. Appendix G – Child Care
	\bowtie	1. Appendix G-1 – Administration
	$\overline{\boxtimes}$	2. Appendix G-2 – Other Eligible Families if Funds are Available
	\boxtimes	3. Appendix G-3 – Reasonable Distance, Very Low Income,
		Family Share, Case Closings and Case Openings, and Fraud and
		Abuse Control Activities
	\boxtimes	4. Appendix G-4 – Districts Options
	\boxtimes	5. Appendix G-5 – Priority Populations
	\boxtimes	6. Appendix G-6 – Funding Set-Asides
	\boxtimes	7. Appendix G-7 – Title XX Child Care

\boxtimes	8. Appendix G-8 – Additional Local Standards for Child Care
	Providers
\boxtimes	9. Appendix G-9 – Payment to Child Care Providers for Absences
\boxtimes	10. Appendix G-10 – Payment for Child Care Providers for Program
	Closures
\boxtimes	11. Appendix G-11 – Transportation, Differential Payment Rates,
	and Sleep
\boxtimes	12. Appendix G-12 – Child Care Exceeding 24 Hours, Child Care
	Service Unit, Waivers, Break in Activities